

12-10-01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel J. O'Sullivan

Group Art Unit: 1651

Serial No.: 09/884,894

Examiner: Unknown

Confirmation No.: 1710

Docket No.: 110.0129 0101

Filed: June 19, 2001

Title: BIFIDOBACTERIA AND SIDEROPHORES PRODUCED THEREBY AND  
METHODS OF USEAssistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ An itemized return postcard.
- ☒ A Petition for Extension of Time for one month and a check in the amount of \$ 55 for the required fee.
- ☒ Other: Communication Re: Missing Parts (1 pg.); copy of Notice to File Missing Parts (1 pg.); signed Declaration and Power of Attorney (3 pgs.); Election Under 37 CFR 3.71, Revocation, Power of Attorney, and Certificate Under 3.73(b) (3 pgs.); a check for \$65 for Missing Parts Surcharge; check for \$1432 for filing fee and additional claims fee.
- Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

| APPLICATION FILING FEE  |                              |   |                              |                      |              |
|---|------------------------------|---|------------------------------|----------------------|--------------|
|   | Number of Pending Claims (1) | Claims Included in Basic Filing Fee (2) | Number of Extra Claims (1-2) | Cost per Extra Claim | Fee Required |
| Total Claims  | 40                           | 20                                      | 20                           | x \$9 =              | \$180        |
| Independent Claims  | 24                           | 3                                       | 21                           | x \$42 =             | \$882        |
| One or More Multiple Dependent Claims Presented? If Yes, Enter \$140 Here → |                              |   |                              |                      |              |
| Enter Basic Filing Fee (Utility Patent-\$370) Here →                        |                              |   |                              |                      | \$370        |
| Total Application Filing Fee  |                              |   |                              |                      | \$1432       |

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH &amp; GEBHARDT, P.A.

Customer Number: 26813

By: David L. Provence  
Name: David L. Provence  
Reg. No.: 43,022  
Direct Dial: 612-305-1005  
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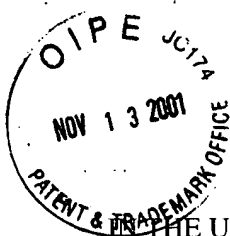
## CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: EL 888271492 USDate of Deposit: November 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Sue Dombroske  
Name: Sue Dombroske

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.10)



PATENT  
Docket No. 110.0129 0101

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel J. O'Sullivan ) Group Art Unit: 1651  
Serial No.: 09/884,894 ) Examiner: Unknown  
Confirmation No.: 1710 )  
Filed: June 19, 2001 )  
For: BIFIDOBACTERIA AND SIDEROPHORES PRODUCED THEREBY AND  
METHODS OF USE

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application," enclosed is an executed Declaration by the named inventors. Enclosed is our check in the amount of \$65 for the missing parts surcharge. Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

CERTIFICATE UNDER 37 C.F.R. 1.10:

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Sue Dombroske*  
Sue Dombroske

"Express Mail" mailing label number:  
EL 888271492 US

Date of Deposit: November 13, 2001

November 13, 2001  
Date

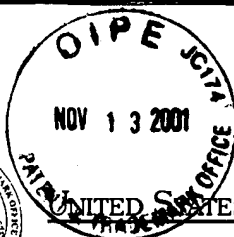
DLP/skd

Respectfully submitted for

Daniel J. O'Sullivan

By  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/884,894         | 06/19/2001          | Daniel J. O'Sullivan  | 110.01290101           |

CONFIRMATION NO. 1710

26813  
MUETING, RAASCH & GEBHARDT, P.A.  
P.O. BOX 581415  
MINNEAPOLIS, MN 55401

## FORMALITIES LETTER



\*OC000000006413645\*

Date Mailed: 08/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2040.
  - \$360 for 20 total claims over 20.
  - \$1680 for 21 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2880.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2001 BREUYEH 00000051 09884894  
02 FC:205  
03 FC:201  
04 FC:203  
05 FC:202  
65.00 DP  
370.00 DP  
180.00 DP  
882.00 DP